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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kight et al. : Group Art Unit: 2167

Serial No: 09/892,897 : Examiner: Unassigned

Filed: June 28, 2001 :

For: INTER NETWORK FINANCIAL SERVICE :

Honorable Assistant  
Commissioner  
for Patents  
Washington, DC 20231

I hereby certify that this paper or fee is  
being deposited with the U.S. Postal Service  
as First Class Mail addressed to Assistant  
Commissioner for Patents, Washington, D.C.  
20231

on Dec. 7, 2001

*Lisa Dwyer*  
Signature

Sir:

Transmitted herewith is a Response to Notice of Incomplete Reply and Petition Under 37 CFR 1.17(h), in the above-identified application.

[ ] No additional fee is required.

[X] Also attached: copy of stamped 'Received' postcards and courtesy copy of Figure 13

The fee has been calculated as shown below:

	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims			0	x \$18 =	\$0
Independent Claims			0	x \$80 =	\$0
Petition under 37 CFR 1.17(h) fee					\$130.00
<b>TOTAL FEE DUE</b>					<b>\$130.00</b>



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Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/892,897	06/28/2001	Peter Kight	3350-89

CONFIRMATION NO. 1588

FORMALITIES LETTER



\*OC000000007038814\*

LALOS & KEEGAN  
Fifth Floor  
1146 Nineteenth Street, N.W.  
Washington, DC 20036

Date Mailed: 11/07/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 10/10/2001 to the Notice to File Missing Parts (Notice) mailed 08/22/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The following item(s) appear to have been omitted from the application:

- Figure(s) 13 described in the specification.
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.
- II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date must be filed within **TWO MONTHS** of the date of this Notice.
- III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) OR (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively

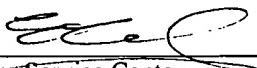
Void date: 01/22/2002 MHABTEH 00000006 09892897  
01/22/2002 MHABTEH 00000006 09892897  
01/22/2002 MHABTEH 00000006 09892897



electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

In re: ..... New Utility Patent Application .....  
(Applicant) (Serial No.)

INTER-NETWORK FINANCIAL SERVICE

In re: .....  
(Title of Paper) (Dated) 6/28/01 (File No.)

The stamp of the Patent Office Mail Room hereon  
acknowledges the receipt of the above-identified papers  
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09/892897



06/28/01

New Utility Patent Application Submittal  
93 pgs. spec. claims and abstract  
26 sheets of drawings including Figs. 1-20C

AAS/3350-89

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In re: ..... New Utility Patent Application .....  
(Applicant) (Serial No.)

In re: ..... INTER-NETWORK FINANCIAL SERVICE .....  
(Title of Paper) — (Dated) 6/28/01 (File No.)

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